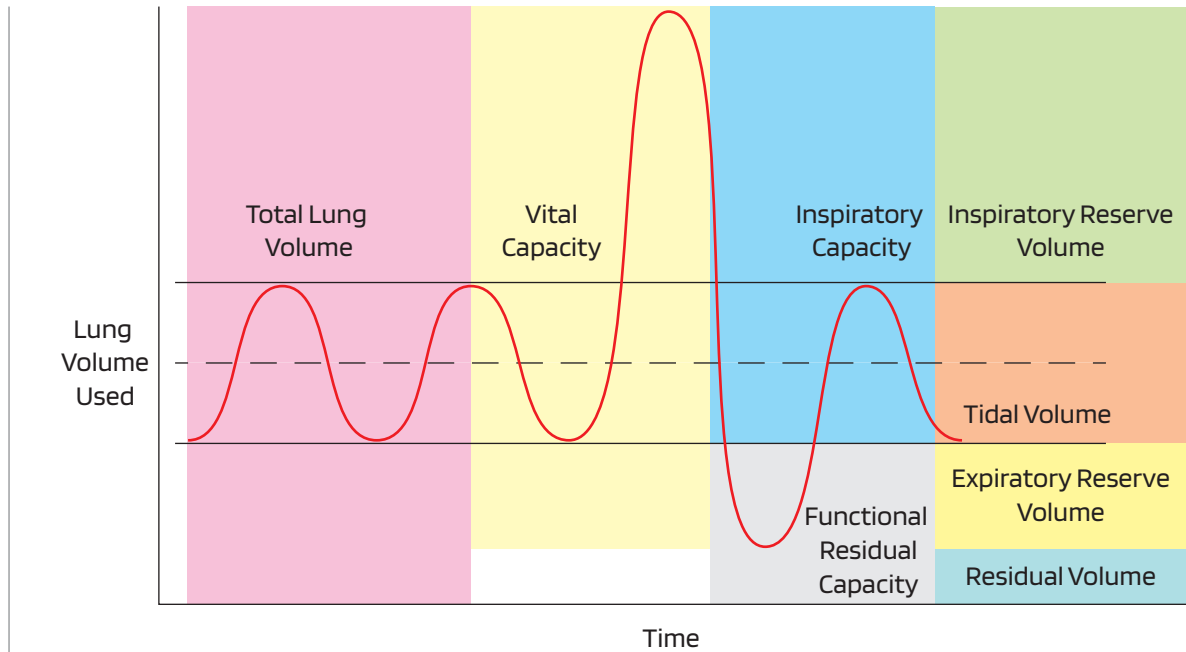




# Physiology

## Lung volumes and spirometry



### Spirometry

|                                     |   |
|-------------------------------------|---|
| <b>Tidal volume</b>                 | The volume of air inspired or expired during each ordinary breath   |
| <b>Inspiratory reserve volume</b>   | Maximum amount of air inspired after tidal volume                   |
| <b>Expiratory reserve volume</b>    | Maximum amount of air expired after tidal volume                    |
| <b>Residual volume</b>              | Amount of air left in the lungs after maximum expiration            |
| <b>Vital capacity</b>               | The sum of tidal volume, inspiratory and expiratory reserve volumes |
| <b>Functional residual capacity</b> | Residual volume plus expiratory reserve volume                      |
| <b>Total lung capacity</b>          | Vital capacity plus residual volume                                 |
| <b>Inspiratory capacity</b>         | Tidal volume plus inspiratory reserve volume                        |
| <b>FEV1</b>                         | Forced expiratory volume in the first second after a deep breath    |
| <b>FVC</b>                          | Total amount of air possible to exhale after a deep breath          |
| <b>FEV1/FVC</b>                     | Differentiates obstructive from restrictive disorders               |



### Respiratory volumes

# Alveolar ventilation & dead space



|                                       |  |
|---------------------------------------|--|
| <b>Anatomical dead space</b>          | - Volume of air inside conducting airway (airways without alveoli)<br>- 150 mL of tidal volume   |
| <b>Physiologic (total) dead space</b> | - Volume of the lungs that does not perform gas exchange<br>- In healthy subjects it is about anatomical dead space<br>$V_D = V_T (\text{alveolar } PCO_2 - \text{expired } PCO_2) / \text{alveolar } PCO_2$ |
| <b>Minute ventilation</b>             | Tidal volume $\times$ RR   |
| <b>Alveolar ventilation</b>           | (tidal volume - dead space) $\times$ RR  |

## Dead space and alveolar ventilation

$V_D$ , physiologic dead space;

$V_T$ , tidal volume;

RR, respiratory rate

# Mechanics of Respiration

Quiet expiration is a passive process that only requires relaxation of the inspiratory muscles.

The lungs and chest wall form an elastic system that has a tendency to go back to its desired volume (elastic recoil). However, it would never collapse because of negative pressure in the pleural space.

| Inspiration                             | Details  |
|---|--|
| <b>Diaphragm</b>                        | Used in quiet breathing  |
| <b>External intercostal</b>             | Used in quiet breathing  |
| <b>Levator costae</b>                   | On the posterior side attaches to vertebrae and ribs   |
| <b>Scalene (pump handle)</b>            | Only in heavy breathing  |
| <b>Sternocleidomastoid</b>              | Only in heavy breathing  |
| <b>Shoulder girdle and neck muscles</b> | Trapezius, latissimus dorsi, pectoralis major and minor, serratus anterior, platysma & teres major |



| Expiration                  | Details   |
|-----------------------------|---|
| <b>Internal intercostal</b> | Used in labored breathing   |
| <b>Pectoralis major</b>     | Only the clavicular head and is used in labored breathing                               |
| <b>Abdominal muscles</b>    | External & internal oblique, transversus & rectus abdominis (only in labored breathing) |

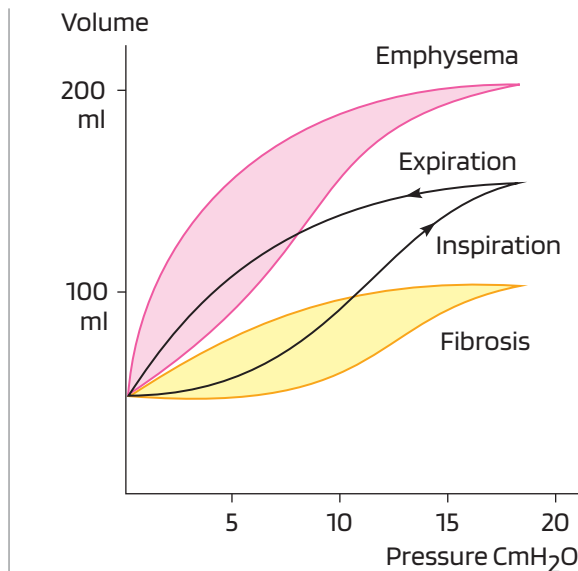
## Muscles of respiration

# Compliance

Compliance or the degree of distensibility is inversely related to stiffness or elastic recoil. It is the slope of the pressure-volume graph.

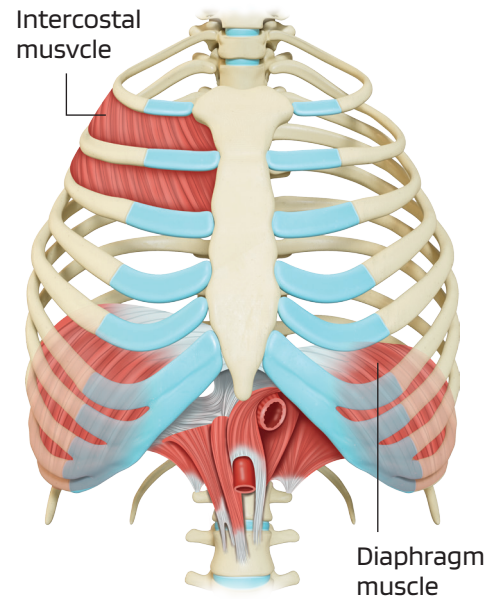
$$C=V/P$$

Compliance is increased in emphysema, and decreased in pulmonary fibrosis.



## Compliance & hysteresis

Note the difference between the inspiration and expiration graphs



## Respiratory muscles

Diaphragm and the intercostal muscles

**Hysteresis** is the difference between the expiration and inspiration graphs. It is due to surface tension, which is higher when inspiration starts and is lower when the expiration starts.

# Compliance of chest wall and lungs

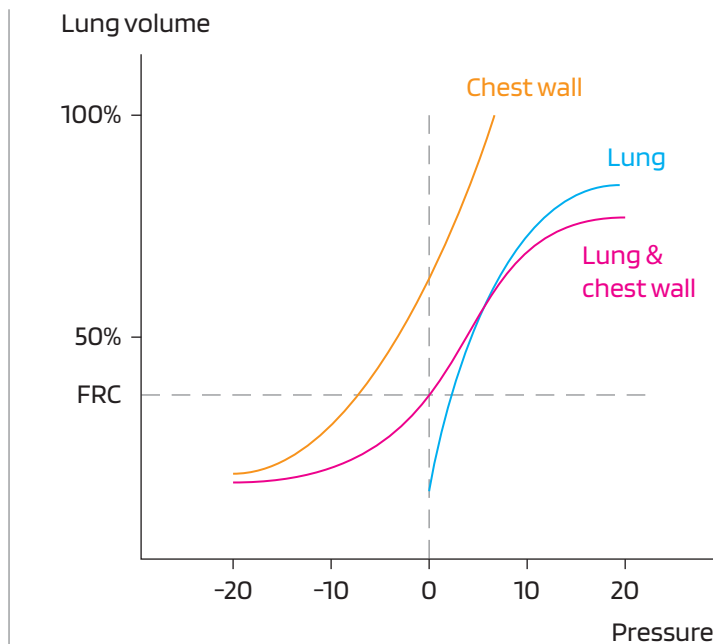
The compliance of the lung-chest wall system is less than the lungs' compliance alone; it is also less than the compliance of the chest wall.

At functional residual capacity, there is equilibrium in the system, and the airway pressure is zero. The two forces are equal; however, if the volume is less than FRC, the elastic recoil of the lungs is less, and it is easier to expand the lungs; if the volume is more than FRC, the elastic recoil is more than it is easier to deflate the lungs.

The more vertical the graph, the higher the compliance; therefore, the lung fibrosis compliance graph becomes more horizontal.

In patients with emphysema, compliance is increased, and the graph becomes more vertical.

The **relaxation pressure-volume curve** explains the elastic properties of the lung-chest wall system. At FRC volume, there is equilibrium in the system, and the opposing forces of the chest wall and the lung is the same. During quiet inspiration, the diaphragm contracts, and the negative intrapleural pressure increases the lung volume. The increase in lung volume increases the whole system's recoil pressure, which increases the elastic recoil and decreases the lung volumes. Relaxation of the inspiratory muscles of quiet inspiration is enough to perform a quiet expiration. The expiratory muscles are needed to create lung volumes below FRC.



### Relaxation pressure-volume curve

FRC, functional residual capacity.

## Surface tension

Hydrogen bonding of water molecules generates a force that tries to hold water molecules together. At the surface, water molecules that are exposed to air try to go back inside water. This force tries to collapse the lungs. Surface tension can collapse the smaller alveoli easily. This is explained by Laplace's law (the collapsing pressure is inversely proportional to the radius).

The **surfactant**, a form of **phosphatidylcholine** made by pneumocyte type 2, decreases the surface tension. Its synthesis starts around 26 weeks of gestation and is nearly completed around 33rd to 35th week of gestation.

**Respiratory distress syndrome in premature babies is due to insufficient surfactant. It presents with hypoxemia and atelectasis throughout the lungs.**

## Airflow and resistance

Flow is inversely proportional to resistance (R). R is inversely proportional to the fourth power of the radius.

$$R \propto 1/r^4$$

The lung volumes, bronchial smooth muscle contraction, and air density affect airflow.

The medium-sized bronchi have higher airway resistance than small-sized bronchi. The small-sized bronchi are parallel, and there are many of them; therefore, compared with medium-sized bronchi, the overall **resistance decreases in the small-sized bronchi**.

Bronchial smooth muscle contraction, as seen in asthma, increases airway resistance significantly.

The sympathetic system causes bronchodilation ( $\beta$ -2) & the parasympathetic system causes bronchoconstriction.

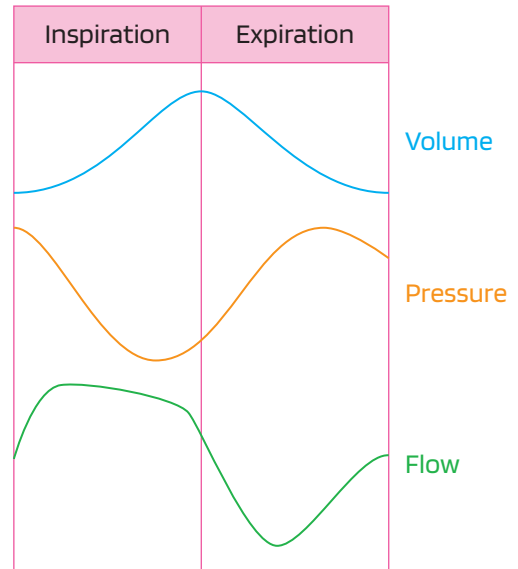
# Breathing cycle

**Transmural pressure (transpulmonary pressure) = interalveolar pressure – intrapleural pressure**

As long as intra-pleural pressure is negative, the lungs will not collapse, and the chest wall will not expand too much. When a sharp object cuts the pleural space open, the lung collapses, and the chest wall expands to its maximum.

At functional residual capacity (FRC), the lungs collapsing force, and the chest wall expanding force are equal.

At functional residual capacity, alveolar pressure is zero, and intrapleural pressure is -3. As inspiration begins, intrapleural pressure goes to -6, and the lung volumes increase. When expiration starts, the increase in intrapleural pressure decreases the lung volumes.

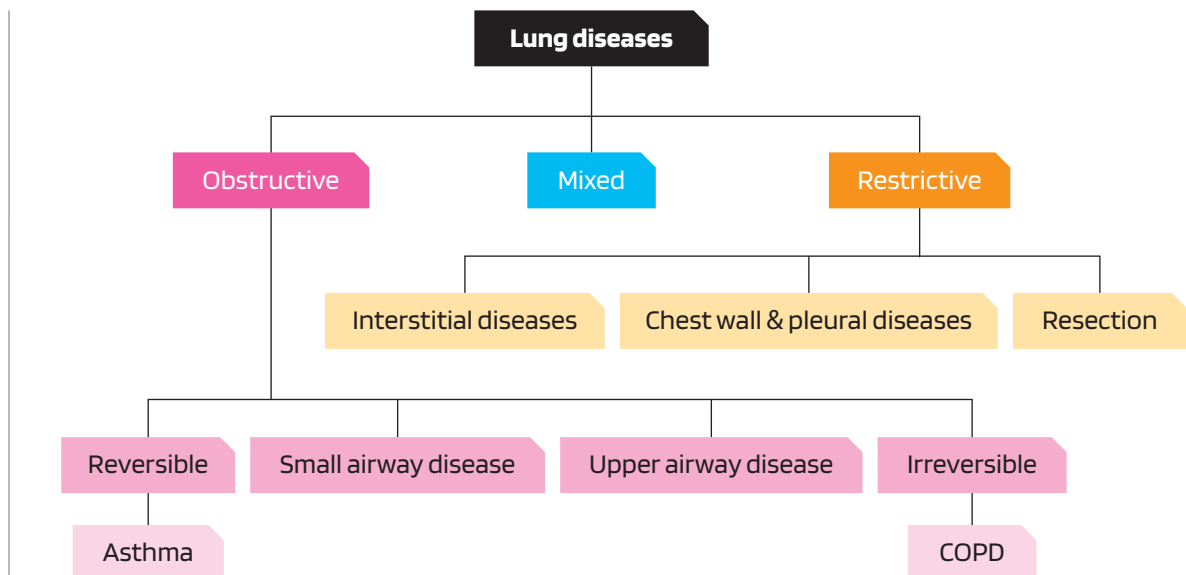


Volume, pressure & flow changes during breathing cycle



# Obstructive versus restrictive diseases

In COPD and asthma, the airflow obstruction is mainly during expiration.



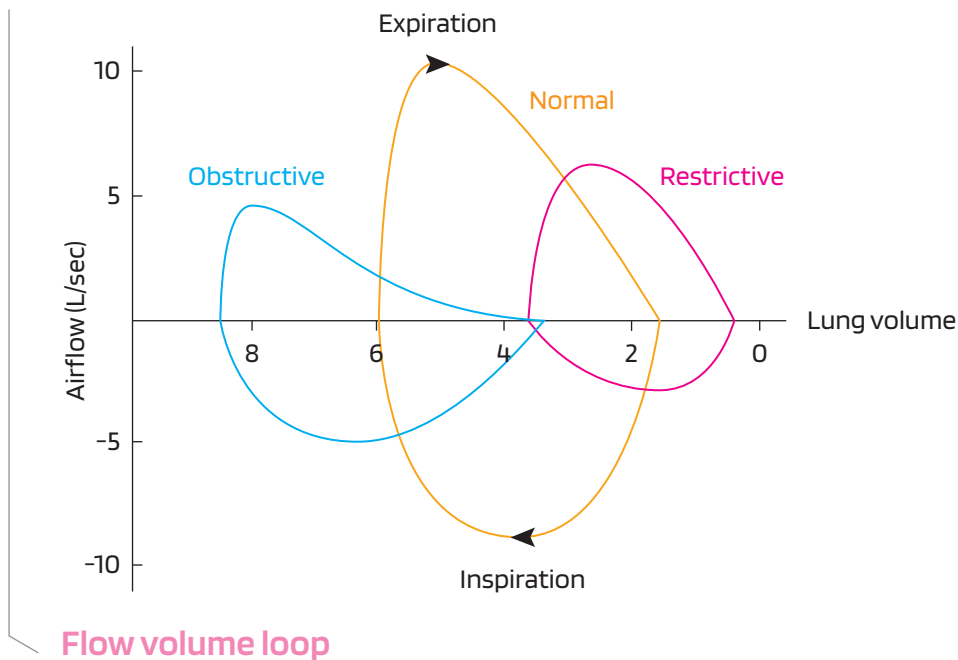
Obstructive versus restrictive diseases



| Disease pattern                             | FEV1/FVC% | Lung volumes | DICO |
|---|-----------|--------------|------|
| Obstructive emphysema dominant              | ↓         | ↑            | ↓    |
| Obstructive chronic bronchitis dominant     | ↓         | ↑            | N    |
| Obstructive reversible (asthma)*            | ↓         | ↑            | N    |
| Restrictive - interstitial                  | N or ↑    | ↓            | ↓    |
| Restrictive - chest wall & pleural diseases | N         | ↓            | N    |
| Restrictive - resection                     | N         | ↓            | ↓    |
| Mixed restrictive and obstructive           | ↓         | ↓            | ↓    |

\*Improves with bronchodilators; appears with methacholine

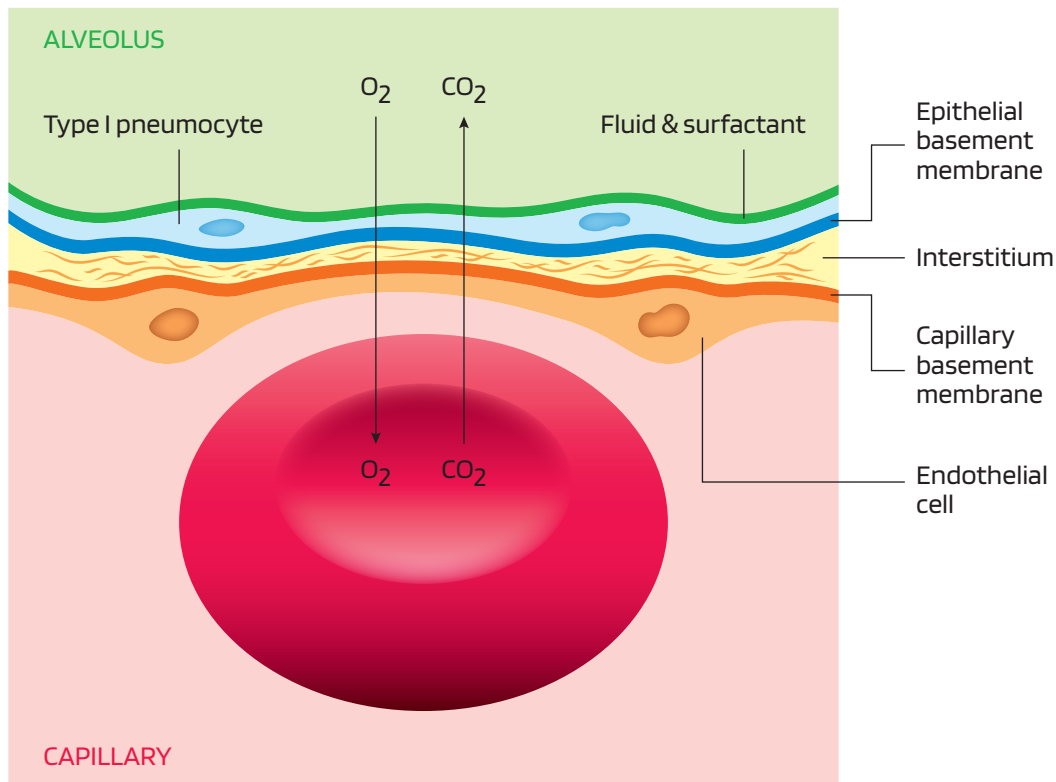
### Obstructive versus restrictive spirometry patterns



## Gas exchange

The blood-air barrier is made of the endothelial cell and its basement membrane, interstitium, the type I pneumocyte, and its basement membrane.

The fractional gas concentration of any gas determines its partial pressure. The partial pressure of oxygen at atmospheric pressure is 160 mmHg; however, as it travels down toward the alveoli, humidification reduces that to 150 mmHg. In the alveoli, gas exchange decreases it to 100 mmHg.



### Blood-air barrier

|                   | Partial pressure of $O_2$      | Partial pressure of $CO_2$ |
|-------------------|--------------------------------|----------------------------|
| <b>Atmosphere</b> | 160 (150 after humidification) | Zero                       |
| <b>Alveoli</b>    | 104                            | 40                         |
| <b>Arterial</b>   | 100                            | 40                         |
| <b>Venous</b>     | 40                             | 46                         |

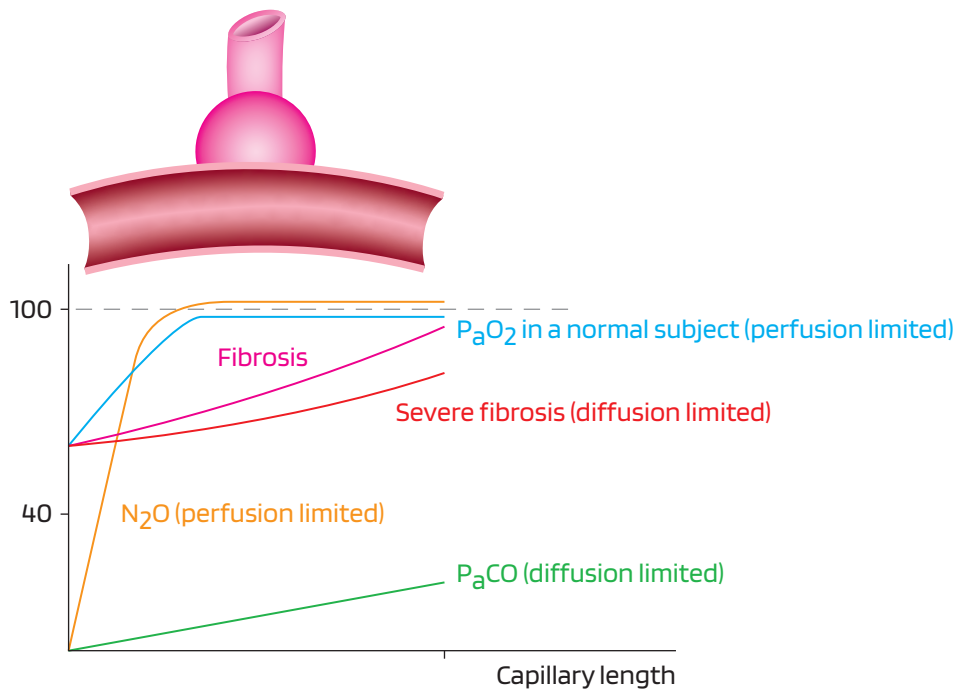


### Partial pressure of $O_2$ and $CO_2$

The partial pressure of any gas represents the dissolved amount of gas in blood, and depends on the solubility of the gas in the blood.

## Diffusion-limited versus perfusion-limited gases

Gas diffusion depends on partial pressure differences across the membrane. If the gas equilibrates early and it depends on only blood flow, it is **perfusion-limited**. Under normal conditions, this is the case for  $CO_2$  and  $O_2$ . If the gas does not equilibrate by the time blood reaches the end of the capillary, it is **diffusion-limited**. This is the case for  $CO$  and  $O_2$  under strenuous exercise. In the case of fibrosis or emphysema, the gas exchange becomes diffusion-limited.



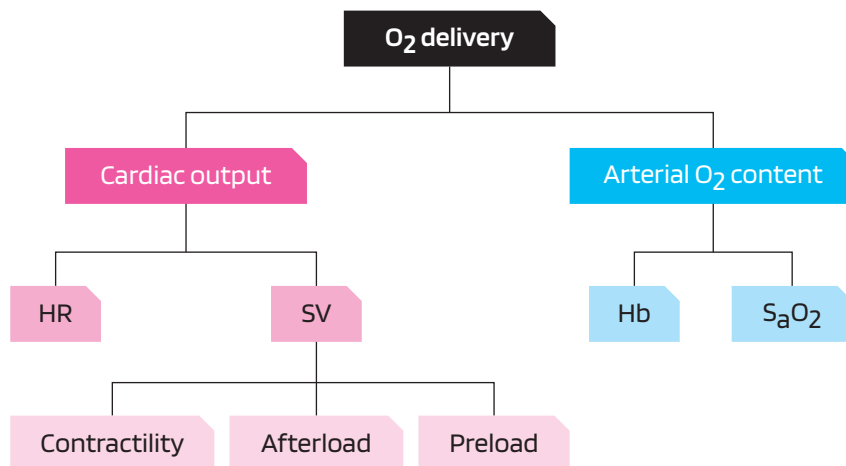
Diffusion limited vs. perfusion limited

## Oxygen transport

$O_2$  transport depends on the arterial  $O_2$  content and cardiac output. The arterial  $O_2$  content depends on hemoglobin level or oxygen saturation.

$O_2$  content =  $O_2$  attached to hemoglobin + dissolved  $O_2$

Oxygen carried by hemoglobin =  $O_2$  binding capacity x saturation (percentage of heme groups attached to  $O_2$ )

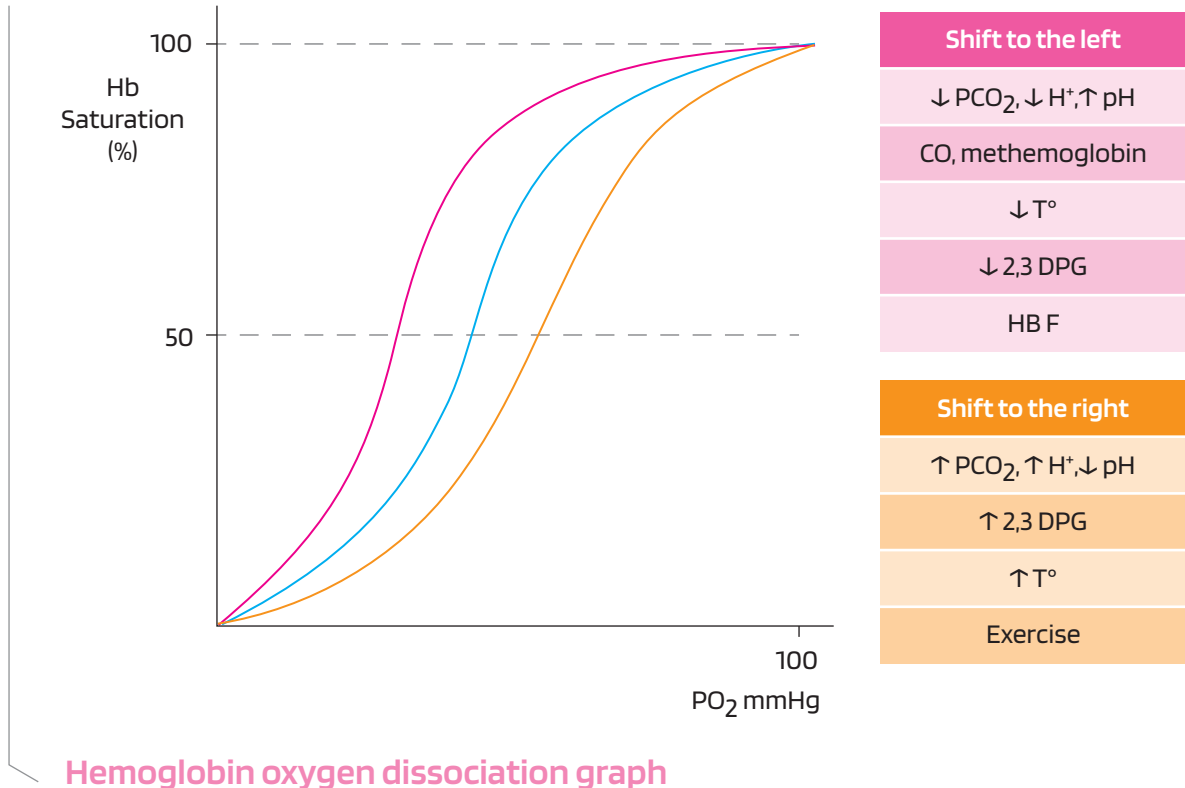


### O<sub>2</sub> delivery

Hb, hemoglobin; HR, heart rate;  $S_{aO_2}$ , oxygen saturation; SV, stroke volume.



# Hemoglobin oxygen dissociation graph



P50 is a partial pressure of oxygen at which 50% of hemoglobin is oxygenated, which is normally around 25 mmHg.

Even in venous blood, about 70% of hemoglobin is saturated. At partial pressures of oxygen above 60 mmHg, the graph becomes almost horizontal.

The sigmoid shape of the graph is a sign of positive cooperativity. This means by adding each molecule of oxygen, it becomes easier to add the next molecule of oxygen; therefore, the 4th oxygen molecule has the highest affinity.

A low O<sub>2</sub> affinity shifts the graph to the right. Increased H<sup>+</sup> ion unloads oxygen (**Bohr effect**).

## Hypoxia versus hypoxemia

Decreased O<sub>2</sub> availability to the cells is called **hypoxia**.

**Hypoxemia** (decreased partial pressure of oxygen in the blood) is a cause of hypoxia. A-a gradient is alveolar O<sub>2</sub> minus the arterial O<sub>2</sub>.

A-a gradient depends on the age of the patient and is normally around 10-15 mmHg. Hypoxemia increases the A-a gradient.



| Causes of hypoxia                 | Causes of hypoxemia         |
|-----------------------------------|-----------------------------|
| Oxidative phosphorylation defects | Hypoventilation             |
| Hypoxemia                         | Diffusion defect            |
| Hb disorders                      | V/Q mismatch                |
| Ischemia                          | Right to left shunt         |
| Low cardiac output                | Low inspired O <sub>2</sub> |

### Causes of hypoxia and hypoxemia

## Pulmonary circulation

Pulmonary circulation is a low-resistance and low-pressure system. Pulmonary circulation is unique since it shows **hypoxic vasoconstriction**. In this way, the blood is shifted to the areas which are ventilated more.

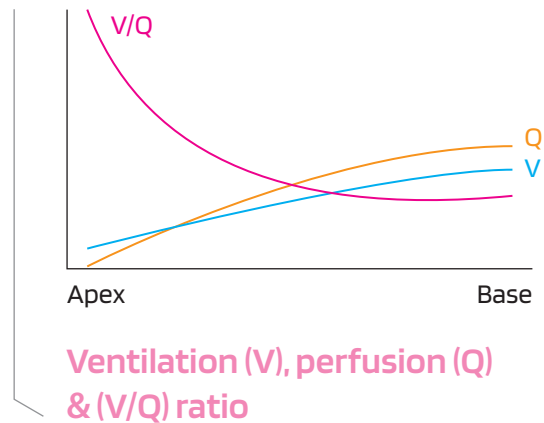
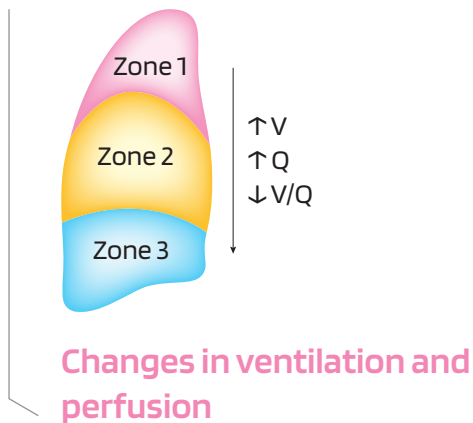
From top to bottom, both ventilation (V) and perfusion (Q) increases; however, the V/Q ratio decreases because the ventilation does not increase as much as the perfusion.

**Zone 1** is the most superior one with the lowest perfusion. **Zone 3** is the lowest and has the highest perfusion due to gravitational force.



## Ventilation-perfusion mismatch

Normally the V/Q ratio is 0.8. Ventilation-perfusion mismatch is the most common cause of lung-related hypoxemia. In pulmonary embolism, there is no perfusion, and the V/Q ratio approaches infinity; therefore, dead space is increased. In ARDS, perfusion is not affected, and ventilation is decreased, which is similar to a right to left shunt. V/Q ratio approaches zero.



## Shunts

A shunt is mixing oxygenated blood with deoxygenated blood. Left-to-right shunts are more common and will not cause hypoxemia.

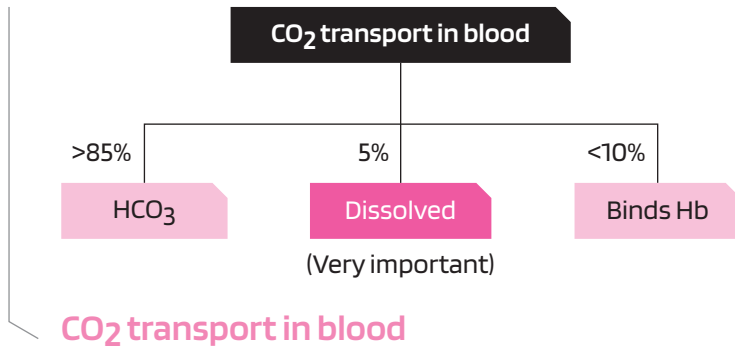
Right-to-left shunts are seen under physiologic conditions when the bronchial veins enter the systemic circulation.

Other causes of the right-to-left shunt are cyanotic heart diseases such as tetralogy of Fallot.

# CO<sub>2</sub> transport

CO<sub>2</sub> generated in the peripheral tissue enters the RBCs and combines with H<sub>2</sub>O (carbonic anhydrase) to form H<sub>2</sub>CO<sub>3</sub>, which dissociates into H<sup>+</sup> and HCO<sub>3</sub><sup>-</sup>.

Bicarbonate is exchanged with chloride and hydrogen ion binds hemoglobin. This is about 85% of CO<sub>2</sub>. About 5% is dissolved in the blood, and the rest is bound to hemoglobin.



# Control of Respiration

Central & peripheral receptors are involved in the control of respiration. The peripheral receptors include chemoreceptors, **J receptors** and lung stretch receptors, muscle spindles, and irritant receptors.

The central control of respiration is both voluntary (cortex) and involuntary (by medulla).

The medullary centers include the **dorsal (inspiratory)** and the **ventral (expiratory)** group of cells.

The pons centers include a **pneumotaxic center (upper pons)** and an **apneustic center (lower pons)**.

The central chemoreceptors in the medulla are sensitive to PCO<sub>2</sub> changes. This area is without a blood-brain barrier.

The peripheral chemoreceptors in the carotid and aortic bodies are sensitive to hypoxemia and H<sup>+</sup> ion concentration.

# Respiratory changes during exercise

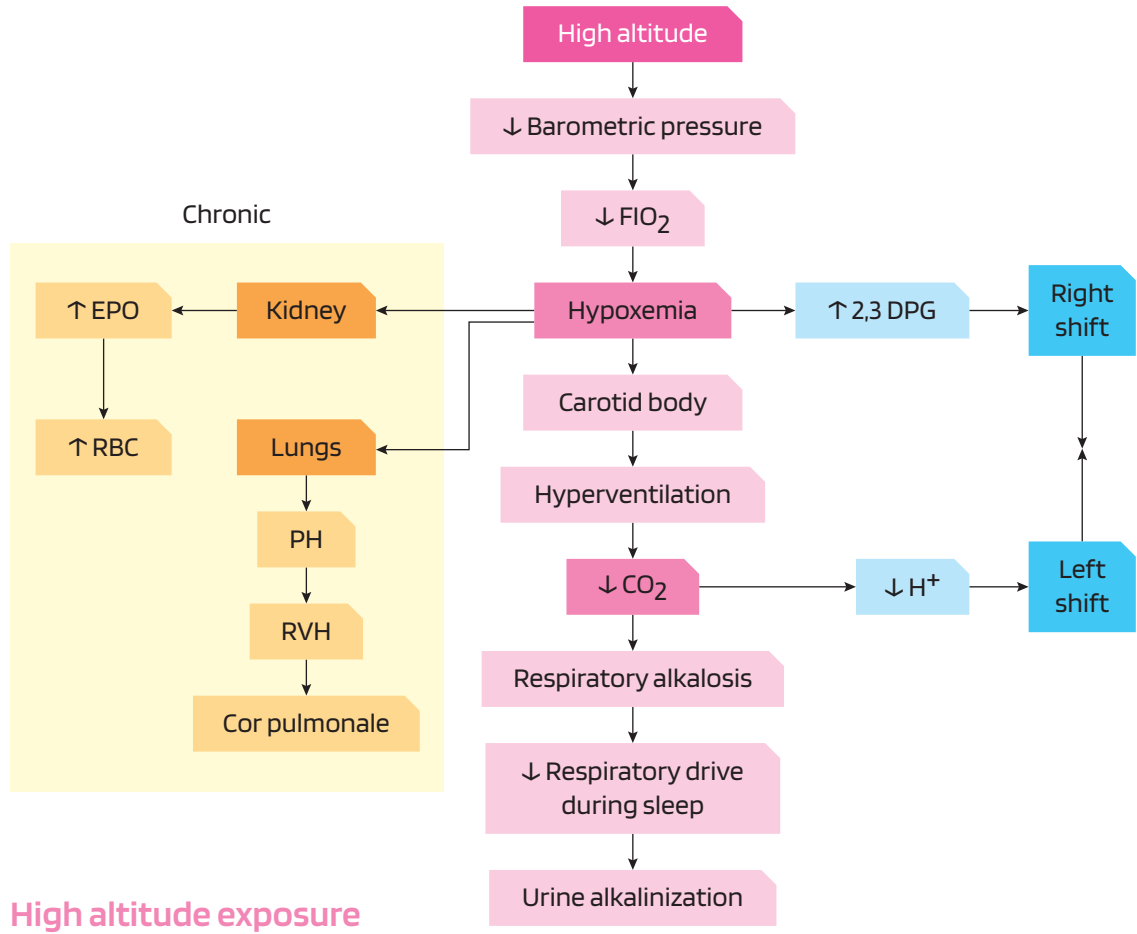


| Increased  | Constant                                 |
|--|--|
| O <sub>2</sub> consumption                                   | PaO <sub>2</sub>                         |
| CO <sub>2</sub> production                                   |  |
| Tidal volume & respiratory rate                              | PaCO <sub>2</sub>                        |
| Pulmonary blood flow   |  |
| Lactate & H <sup>+</sup> (right shift)                       |  |
| Venous CO <sub>2</sub> content (O <sub>2</sub> is decreased) | V/Q is more uniform throughout the lungs |
| Anatomic dead space (distention of airways)                  |  |

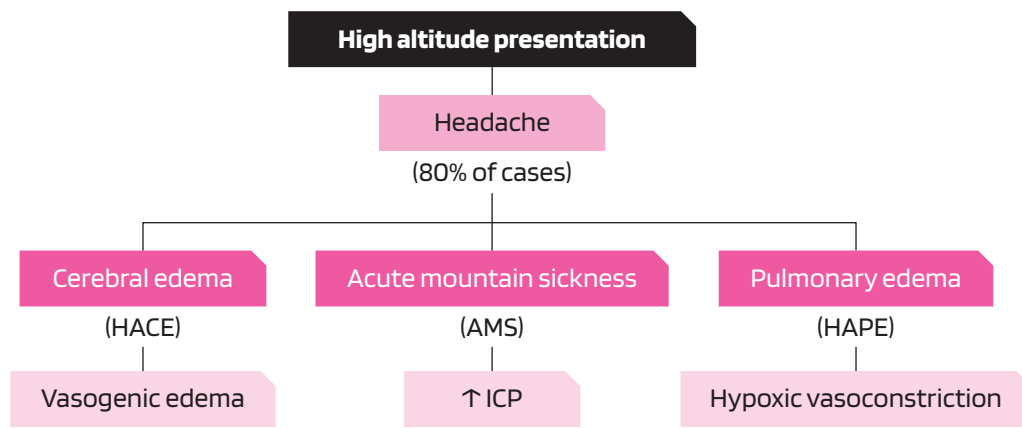
## Respiratory changes during exercise

# High-altitude

Acute exposure to high-altitude conditions causes respiratory alkalosis; however, chronic exposure causes erythrocytosis and pulmonary hypertension (vasoconstriction due to hypoxemia).



# High altitude presentation



**High altitude presentation**



# Aging and respiratory system

| Aging of the respiratory system                                       |
|---|
| ↓ respiratory muscles power   |
| ↓ vital capacity  |
| ↓ response to hypercapnia & hypoxemia                                 |
| ↓ physiologic reserve   |
| ↑ chest wall rigidity   |
| ↑ residual volume (TLC stays the same since vital capacity decreases) |
| ↑ terminal air space  |
| ↑ V/Q mismatch  |
| ↑ pulmonary artery pressure   |



## Aging of the respiratory system

# Respiratory formulas

|                          |  |
|--------------------------|--|
| A-a gradient             | $< 2.5 + (\text{Age} \times 0.21)$   |
| Alveolar PO <sub>2</sub> | $\text{PIO}_2 - \text{O}_2 \text{ used}$<br>$(150 - 50) = 100$                     |
| Total dead space         | $\text{Tidal volume} \times \frac{(\text{PaCO}_2 - \text{PECO}_2)}{\text{PaCO}_2}$ |
| Minute ventilation       | Tidal volume × RR  |
| Alveolar ventilation     | (Tidal volume – dead space) × RR   |



## Respiratory formulas

A-a gradient is the difference between the alveolar and arterial O<sub>2</sub>;  
 PaCO<sub>2</sub>, arterial PCO<sub>2</sub>;  
 PECO<sub>2</sub>, PCO<sub>2</sub> in the expired air;  
 RR, respiratory rate